

KING & SHAXSON

Individual Savings Account - Transfer Application

Please complete this form and return to: King & Shaxson Asset Management Limited,
1st Floor, Cutlers Court, 115 Houndsditch, London, EC3A 7BR

If the ISAs to be transferred are held by more than one account manager please
complete a separate transfer application for each manager.

This form will act as authorisation for your existing ISA Manager to transfer your
investment to King & Shaxson Asset Management Limited.

If you are not an existing customer please ensure that you return the required
'Know Your Customer' Identification so that we can process your application
promptly. A list of 'know your customer' requirements are detailed on the last page
of this application form.

Personal details (Please use block capitals)

| | |
|--|--|
| Title (Mr/Mrs/Miss/Ms) | <input type="text"/> |
| First Name (in full) | <input type="text"/> |
| Surname | <input type="text"/> |
| Permanent Residential Address | <input type="text"/> <input type="text"/> |
| | <input type="text"/> Postcode <input type="text"/> |
| Date of Birth | <input type="text"/> |
| National Insurance Number | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> If you do not have a National Insurance Number, please tick here <input type="checkbox"/> |
| Do you have an existing account with King & Shaxson? | |
| King & Shaxson Account Number | <input type="text"/> |

Existing fund manager details (Please use block capitals)

All details below for your existing manager from whom you wish to transfer.

| | |
|----------------------|--|
| Name of fund manager | <input type="text"/> |
| Client Act. Ref | <input type="text"/> |
| Email | <input type="text"/> |
| Address | <input type="text"/> <input type="text"/> <input type="text"/> |
| | <input type="text"/> Postcode <input type="text"/> |

I hereby instruct my current ISA Manager to: Please tick one box

Liquidate the assets within my ISA and forward the proceeds

Transfer my current ISA investments

as soon as practicable to my new account manager at King & Shaxson Asset Management
Limited, 1st Floor, Cutlers Court, 115 Houndsditch, London, EC3A 7BR.

Transfer investment choices

I wish to invest as follows: Please tick one box

Personal Discretionary Service

If you do not have an existing account with King & Shaxson you will need to complete
a separate application form. You will also need to complete a 'Values-based Investment
Questionnaire if applying for the discretionary management service.

Declaration and authorisation

I declare that:

- All investment subscriptions made now and in the future belong to me.
- I am aged 18 years or over.
- I have not subscribed/made payment, and will not subscribe/make payments, more than the overall subscription/payment limit in total to a cash ISA, a stocks & shares ISA in the same tax year that I subscribe to this stocks and shares ISA.
- I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this King & Shaxson stocks & shares ISA.
- If transferring or subscribing to an ISA in the current tax year, I understand that this does not mean that I am obliged to invest with King & Shaxson Asset Management Limited in the following or future tax years. However, if I wish to do so, I will not be required to complete a further application form.
- I am resident and ordinarily resident in the United Kingdom for tax purposes, or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings and pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to or in a civil partnership with a person who performs such duties. I will inform King & Shaxson Asset Management Limited if I cease to be so resident and ordinarily resident or to perform such duties, or to be married to or in a civil partnership with a person who performs such duties.

Data protection

In respect of the personal data that you provide, the data controller is King & Shaxson Asset Management Limited. King & Shaxson Asset Management Limited may hold the information provided by you for purposes in connection with the contract (and related services), which you have applied for. This includes the processes of administration and customer complaint handling.

In addition, King & Shaxson Asset Management Limited will disclose information to certain bodies where we have a legal obligation to do so, for example, to regulatory bodies or authorities such as the Financial Conduct Authority or HMRC. King & Shaxson Asset Management Limited may also be required to disclose information in order to comply with money laundering laws and for other purposes, for example, detecting crime. We will also share permitted relevant information with persons acting on your behalf (for example, your financial adviser) to allow them to fully carry out their role as your agent.

I authorise King & Shaxson Asset Management Limited to:

- Hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- To make on my behalf any claims to relief from tax in respect of ISA investments.

I confirm that I have read and understood the King & Shaxson ISA Terms & Conditions and Key Features document and agree to be bound by the Terms & Conditions. I understand that the commencement of my ISA may be delayed or rejected if this application form is not complete in all respects. I declare that the information contained in this application form is correct to the best of my knowledge and belief.

I consent to the processing of data as explained above.

Signature

Date

Intermediary details (For intermediary use only)

Agency number

Adviser name

Company name

Company address

Individual Savings
Account -
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Contact details

King & Shaxson Asset Management Limited

1st Floor, Cutlers Court
115 Houndsditch
London, EC3A 7BR

Tel: 020 7426 5950

Fax: 020 7626 1757

Email: ethical@kasl.co.uk

www.kingandshaxsonethical.co.uk

'Know Your Customer' Identification

The information required from each individual applicant that is not an existing investor with King & Shaxson Asset Management Limited is listed below.

- A certified copy of a valid passport, driving licence or identification card bearing evidence of the applicant's identity. (Certified by a police officer, a qualified accountant, a practicing solicitor, an IFA or other person acceptable to the Company);
- and
- An original certified true copy of an item bearing evidence of the applicant's address (e.g. council tax bill, bank statement, utility bill (not mobile phone), mortgage statement)

Completion of this application form

Transfer investment choices

If you are subscribing to the Personal Discretionary Service and you do not already have a Personal Discretionary account you will need to complete a separate application form and 'Values-based Investment Questionnaire'. See the Personal Discretionary area of our website (www.kingandshaxsonethical.co.uk) for an application form or you can ask your IFA (subject to our minimum investment amount).

King & Shaxson Asset Management Limited (Company Number: 03870667) and King & Shaxson Limited (Company Number: 869780), a member of the London Stock Exchange. The Registered Office for all companies is 1st Floor, Cutlers Court, 115 Houndsditch, London, EC3A 7BR. All companies are registered in England and are part of the PhillipCapital Group.

King & Shaxson Asset Management Limited (Firm Reference Number: 823315) and King & Shaxson Limited (Firm Reference Number: 179213) are Authorised and Regulated by the Financial Conduct Authority, 12 Endeavour Square, London E20 1JN.