

Please action the above as soon as practicable to my new account manager at **King & Shaxson Asset Management Limited, 1st floor, 155 Fenchurch St, London EC3M 6AL.**

Declaration and authorisation

I declare that:

- All investment subscriptions made now and in the future belong to me.
- I am aged 18 years or over.
- I have not subscribed/made payment, and will not subscribe/make payments, more than the overall subscription/payment limit in total to a cash ISA, a stocks & shares ISA in the same tax year that I subscribe to this stocks and shares ISA.
- I have not subscribed, and will not subscribe, to more than the overall ISA subscription limit total in the same tax year.
- If transferring or subscribing to an ISA in the current tax year, I understand that this does not mean that I am obliged to invest with King & Shaxson Asset Management Limited in the following or future tax years. However, if I wish to do so, I will not be required to complete a further application form.
- I am resident and ordinarily resident in the United Kingdom for tax purposes, or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings and pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to or in a civil partnership with a person who performs such duties. I will inform King & Shaxson Asset Management Limited if I cease to be so resident and ordinarily resident or to perform such duties, or to be married to or in a civil partnership with a person who performs such duties.

Data protection

In respect of the personal data that you provide, the data controller is King & Shaxson Asset Management Limited. King & Shaxson Asset Management Limited may hold the information provided by you for purposes in connection with the contract (and related services), which you have applied for. This includes the processes of administration and customer complaint handling.

In addition, King & Shaxson Asset Management Limited will disclose information to certain bodies where we have a legal obligation to do so, for example, to regulatory bodies or authorities such as the Financial Conduct Authority or HMRC. King & Shaxson Asset Management Limited may also be required to disclose information in order to comply with money laundering laws and for other purposes, for example, detecting crime. We will also share permitted relevant information with persons acting on your behalf (for example, your financial adviser) to allow them to fully carry out their role as your agent.

I authorise King & Shaxson Asset Management Limited to:

- Hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- To make on my behalf any claims to relief from tax in respect of ISA investments.

I confirm that I have read and understood the King & Shaxson ISA Terms & Conditions and Key Features document and agree to be bound by the Terms & Conditions. I understand that the commencement of my ISA may be delayed or rejected if this application form is not complete in all respects. I declare that the information contained in this application form is correct to the best of my knowledge and belief.

I consent to the processing of data as explained above.

Signature

Date

Intermediary details (For intermediary use only)

Agency number

Adviser name

Company name

Company address

Individual Savings
Account -
Transfer Application

KING & SHAXSON

Contact details

**King & Shaxson Asset
Management Limited**

**1st floor, 155 Fenchurch
St, London EC3M 6AL**

Tel: 020 7426 5960

Fax: 020 7626 1757

Email: ethical@kasl.co.uk

www.kingandshaxsonethical.co.uk

'Know Your Customer' Identification

The information required from each individual applicant that is not an existing investor with King & Shaxson Asset Management Limited is listed below.

- A certified copy of a valid passport, driving licence or identification card bearing evidence of the applicant's identity. (Certified by a police officer, a qualified accountant, a practicing solicitor, an IFA or other person acceptable to the Company);

and

- An original certified true copy of an item bearing evidence of the applicant's address (e.g. council tax bill, bank statement, utility bill (not mobile phone), mortgage statement), dated within the last 3 months.

Completion of this application form

Transfer investment choices

If you are subscribing to the Personal Discretionary Service and you do not already have a Personal Discretionary account you will need to complete a separate application form and 'Values-based Investment Questionnaire'. See the Personal Discretionary area of our website (www.kingandshaxsonethical.co.uk) for an application form or you can ask your IFA (subject to our minimum investment amount).

King & Shaxson Asset Management Limited (Reg. No. 3870667) has its registered office at 1st Floor, 155 Fenchurch Street, London, EC3M 6AL. The Company is registered in England and Wales and is part of the PhillipCapital Group. King & Shaxson Asset Management Limited (FCA Reg. No. 823315) is authorised and regulated by the Financial Conduct Authority, 12 Endeavour Square, London, E20 1JN.