

## Individual Savings Account Stocks & Shares Application

This application relates to a Stocks & Shares ISA for the tax year commencing 6th April     and for subsequent years where appropriate or to an ISA Transfer from another ISA Manager, subject to the current published terms & conditions

This form must be completed in full, signed and dated and returned to King & Shaxson Asset Management Limited, 1st floor, 155 Fenchurch St, London EC3M 6AL.

### Personal Details (Please use block capitals)

Title (Mr/Mrs/Miss/Ms)	<input type="text"/>	Surname	<input type="text"/>
First Name (in full)	<input type="text"/>		
Permanent Residential Address	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/>	Postcode	<input type="text"/>
Telephone No (Office)	<input type="text"/>	(Home)	<input type="text"/>
Date of Birth	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>		
National Insurance Number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	If you do not have a National Insurance Number, please tick here	<input type="checkbox"/>

### Investment Selection

I wish to invest £  in (please tick one option):  
(The maximum investment amount is your annual allowable subscription limit for a Stocks & Shares ISA).

Personal Discretionary Service ☐

I wish to transfer my current Stocks & Shares ISA(s) into the King & Shaxson ISA, please find enclosed my Transfer Application ☐

### Income

Would you like dividend income paid to you? YES/NO  
Would you like to receive a fixed monthly income? YES/NO  
I require a fixed monthly income of: Amount £  (Minimum £50 pm)

If you have answered YES for any of the above questions, please provide your bank details below.

Name of Bank	<input type="text"/>		
Address	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/>	Postcode	<input type="text"/>
Name of Account Holder	<input type="text"/>		
Bank sort code	<input type="text"/> <input type="text"/> <input type="text"/>	Account number	<input type="text"/>

Individual Savings  
Account Stocks  
& Shares Application

# KING & SHAXSON

## Contact details

### King & Shaxson Asset Management Limited

1st floor, 155  
Fenchurch St, London  
EC3M 6AL

Tel: 020 7426 5950  
www.kingandshaxsonethic  
al.co.uk

I apply to subscribe for a Stocks & Shares ISA for the tax year commencing 6 April and each subsequent year until further notice

Y	Y
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#### I certify the following:

1. I am 18 years of age or over
2. I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform King & Shaxson Asset Management Limited if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
3. All subscriptions made, and to be made, belong to me\*
4. I have not subscribed, and will not subscribe, to more than the overall ISA subscription limit total in the same tax year.

#### I authorise King & Shaxson Asset Management Limited, as ISA Managers:

- (a) To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- (b) Subject to any overriding ISA regulations, my risk profile and investment objectives will be set out in my account opening documentation.
- (c) To make on my behalf any claims to relief from tax in respect of ISA investments.
- (d) On my written request and at my risk, to transfer or pay to me as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments or cash.

#### I declare that:

- (i) this application has been completed to the best of my knowledge and belief and
- (ii) I will undertake to inform King & Shaxson Asset Management Limited of any changes in the information provided on this form and
- (iii) I confirm that I have read and understood the King & Shaxson ISA Terms & Conditions and Key Features documents and agree to be bound by the Terms & Conditions.

\*Not applicable to ISA Transfers

Signature of applicant	
Date	
Name in block capitals	

FOR THE PERSONAL DISCRETIONARY SERVICE OPTION A 'VALUES-BASED INVESTMENT QUESTIONNAIRE' IF NOT PREVIOUSLY COMPLETED MUST BE COMPLETED IN FULL, SIGNED AND DATED. THIS QUESTIONNAIRE SHOULD BE RETURNED ALONG WITH THIS FORM,

King & Shaxson Asset Management Limited  
(Company Number: 03870667, Firm Reference  
Number 823315). Registered Office is 1st floor,  
155 Fenchurch St, London EC3M 6AL. Part of  
the PhillipCapital Group. Authorised and  
Regulated by the Financial Conduct Authority,  
12 Endeavour Square, London, E20 1JN.

#### For Office Use

Executive Code

Discretionary

#### Intermediary Details (For intermediary use only)

Agency Number

Adviser Name

Company Name

Company Address

Postcode