

## Individual Savings Account Stocks & Shares Application

This application relates to a Stocks & Shares ISA for the tax year commencing 6th April   and for subsequent years where appropriate or to an ISA Transfer from another ISA Manager, subject to the current published terms & conditions

This form must be completed in full, signed and dated and returned to King & Shaxson Asset Management Limited, 1<sup>st</sup> Floor, Cutlers Court, 115 Houndsditch, London, EC3A 7BR.

### Personal Details (Please use block capitals)

Title (Mr/Mrs/Miss/Ms)	<input type="text"/>	Surname	<input type="text"/>
First Name (in full)	<input type="text"/>		
Permanent Residential Address	<input type="text"/>		
	<input type="text"/>	Postcode	<input type="text"/>
Telephone No (Office)	<input type="text"/>	(Home)	<input type="text"/>
Date of Birth	<input type="text"/>		
National Insurance Number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	If you do not have a National Insurance Number, please tick here	<input type="checkbox"/>

### Investment Selection

I wish to invest  £  in (please tick one option):  
(The maximum investment amount is your annual allowable subscription limit for a Stocks & Shares ISA).

Personal Discretionary Service

I wish to transfer my current Stocks & Shares ISA(s) into the King & Shaxson ISA, please find enclosed my Transfer Application

I enclose my cheque payable to Pershing Securities Limited

Cheques must either be drawn on your own or your joint account. The cheque must be payable to 'Pershing Securities Limited'. Pershing Securities Limited are agents and custodians of King & Shaxson Capital. For a building society cheque or banker's draft your name must appear on the front of the cheque, or on the back of the cheque accompanied by the building society's or bank's official stamp and signature. We do not accept payments from other third parties. We do not accept payment by any other method.

### Income

Would you like dividend income paid to you? YES NO  
Would you like to receive a fixed monthly income? YES NO  
I require a fixed monthly income of: Amount  £  (Minimum £50 pm)

If you have answered YES for any of the above questions, please provide your bank details below.

Name of Bank	<input type="text"/>		
Address	<input type="text"/>		
	<input type="text"/>	Postcode	<input type="text"/>
Name of Account Holder	<input type="text"/>		
Bank sort code	<input type="text"/> <input type="text"/> <input type="text"/>	Account number	<input type="text"/>

Individual Savings  
Account Stocks  
& Shares Application

# KING & SHAXSON

## Contact details

King & Shaxson Asset  
Management Limited  
1<sup>st</sup> Floor, Cutlers Court  
115 Houndsditch  
London, EC3A 7BR

Tel: 020 7426 5950  
www.kingandshaxsonethic  
al.co.uk

I apply to subscribe for a Stocks & Shares ISA for the tax year commencing 6 April   
and each subsequent year until further notice

### I certify the following:

1. I am 18 years of age or over
2. I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform King & Shaxson Asset Management Limited if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
3. All subscriptions made, and to be made, belong to me\*
4. I have not subscribed and will not subscribe more than the overall subscription limit in total to a Cash ISA and Stocks & Shares ISA in the same year.
5. I have not subscribed and will not subscribe to another stocks & shares ISA in the same tax year that I subscribe to this stocks & shares ISA.

### I authorise King & Shaxson Asset Management Limited, as ISA Managers:

- (a) To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- (b) Subject to any overriding ISA regulations, my risk profile and investment objectives will be set out in my account opening documentation.
- (c) To make on my behalf any claims to relief from tax in respect of ISA investments.
- (d) On my written request and at my risk, to transfer or pay to me as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments or cash.

### I declare that:

- (i) this application has been completed to the best of my knowledge and belief and
- (ii) I will undertake to inform King & Shaxson Asset Management Limited of any changes in the information provided on this form and
- (iii) I confirm that I have read and understood the King & Shaxson ISA Terms & Conditions and Key Features documents and agree to be bound by the Terms & Conditions.

\*Not applicable to ISA Transfers

Signature of applicant	<input type="text"/>
Date	<input type="text"/>
Name in block capitals	<input type="text"/>

FOR THE PERSONAL DISCRETIONARY SERVICE OPTION A 'VALUES-BASED INVESTMENT QUESTIONNAIRE' IF NOT PREVIOUSLY COMPLETED MUST BE COMPLETED IN FULL, SIGNED AND DATED. THIS QUESTIONNAIRE SHOULD BE RETURNED ALONG WITH THIS FORM,

King & Shaxson Asset Management Limited  
(Company Number: 03870667, Firm Reference  
Number 823315). Registered Office is 1st Floor  
Cutlers Court, 115 Houndsditch, London,  
EC3A 7BR. Part of the PhillipCapital Group.  
Authorised and Regulated by the Financial  
Conduct Authority, 12 Endeavour Square,  
London, E20 1JN.

### For Office Use

Executive Code

Discretionary

### Intermediary Details (For intermediary use only)

Agency Number

Adviser Name

Company Name

Company Address

Postcode